EXHIBIT F

Case 1:07-cv-09901-SHS Document 233-1 Filed 03/25/13 Page 31 of 33 In re Citigroup Inc. Securities Litigation

Exhibit F

Non-Conforming Exclusions

G 4	Exclusion	Y ()	D 1 1D (
Count	ID Number	Name(s)	Received Date
1	1545396	ROBERT R TASCHNER	November 5, 2012
2	1428390	JENE THOMPSON	November 15, 2012
3	1825769	JAMES IANNUZO	November 19, 2012
4	1130138	DOROTHY HARTY	November 20, 2012
5	2022078	CHARLES GOODMAN	November 26, 2012
6	2430221	VIRGIE M. GRAY, DECEASED	November 26, 2012
7	2443436	JANE BULLARD	November 26, 2012
8	2026397	IANGUS VIRGINIA L MANGUS TTEE MANGUS FAMILY 1	November 27, 2012
9	2639067	PATRICIA STOTTLEMYER	November 27, 2012
10	1080866	GARY L BURGESS AND CARRIE L BURGESS	November 30, 2012
11	1430096	MUHAMMAD AHMAD ULLAH & KANEEZ FATIMA	November 30, 2012
12	2581806	GARY BURGESS	November 30, 2012
13	974	FRANK LATOS	November 30, 2012
14	979	SARAH SUNG & CHING-CHAO SUNG	December 3, 2012
15	2081870	LINNIE CARROLL YOUNG	December 3, 2012
16	980	ELIZABETH ROWCLIFFE	December 3, 2012
17	981	ROBERT F. STAUFFER	December 3, 2012
18	986	MARIE BALL	December 3, 2012
19	1003	GEORGE CUMMING & ANITA CUMMING	December 3, 2012
20	2028745	LEE K BARTLETT & MARGARET J BARTLETT	December 3, 2012
21	1004	HELMUT ZWINGMANN & JUTTA ZWINGMANN	December 3, 2012
22	1005	MARIANNE KRAUSS	December 3, 2012
23	2673524	MARILYN J MORTON	December 3, 2012
24	1323650	MARILYN MORTON	December 3, 2012
25	1122	EVA DEMIAN	December 3, 2012
26	1126	LAUNCH IPP IN TRUST FOR JAMES & CATHERINE PHIL	December 3, 2012
27	1133	CAROLE CHARNUTZKY	December 3, 2012
28	1768438	EDWARD C ZAWACKI	December 3, 2012
29	1267	BRADLEY CRAWFORD & DIANA CRAWFORD	December 5, 2012
30	1303	MARIANNE BROCKMAN	December 6, 2012
31	1304	CHRISTEL BURNSIDE	December 6, 2012
32	1316	TMF HOLDINGS LTD	December 5, 2012
33	2716859	HAROLD H RAEDEL	December 6, 2012
34	1426	DEREK J. GRIFFITHS	December 7, 2012
35	1488	VIRGINIA M. GOSKI	December 10, 2012
36	1489	ROBERT C. MORROW	December 10, 2012
37	1490	AUDREY PHILLIPS	December 10, 2012
38	1503	THEODORE LAMBERT & MARGUERITE LAMBERT	December 10, 2012
39	1505	MYRNA JEAN NOUJAIM #2	December 10, 2012
40	1506	BRIAN HERGOTT	December 10, 2012
41	1507	GARY CORBIN	December 10, 2012
42	1509	ESTATE OF ESTHER HUMPHREY	December 10, 2012
43	1512	CLAUDE BOURGET	December 10, 2012
44	1510	JACQUES PAYETTE	December 10, 2012
45	1511	JOAN BLAKLEY	December 10, 2012
46	1516	JIM R RITCHIE	December 12, 2012
47	1517	G. DOUGLAS KEARY	December 12, 2012
48	1518	SAN SAN SY	December 11, 2012
49	1519	LUCIEN G BOULANGER & MARY G BOULANGER	December 11, 2012
50	1521	ESTATE OF DAN SAWCHYN	December 11, 2012
51	1522	TORONTO CHILDREN'S CARE INC.	December 11, 2012
52	1912	DARREN CARLETON OR BRENDA CARLETON	December 14, 2012
53	1914	MARGARET LEDUE	December 14, 2012
54	1918	MARGARET RONVEL	December 14, 2012
55	1919	DONALD KUNAMAN	December 14, 2012
56	1920	AUDREY HOWARD & LES HOWARD	December 14, 2012

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Exhibit F

Non-Conforming Exclusions

57 58 59 60 61 61 62 28 63 27 64 65 66 67 30 68 30 69 70 71 26 72 32 73 32 74 29 75 29 76 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 88 32 88 32 88 32 88 33	Number 1927 1928 1970 2217 2224 806103 889692 2376 2377 2379 333942 333943 2824 3182 520403 246905 289696 004434 227037 5308 045687 32220 519489 520379 270607 334436 556807	AUDREY M. MARTIN SONNIA PRYSTUPA NORA ANN PIHRAG CINDY HUNTER BART HUNTER KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG INGEBORG SCHUSTER	December 17, 2012 December 17, 2012 December 18, 2012 December 20, 2012 December 20, 2012 December 20, 2012 December 20, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 27, 2012 December 27, 2013 January 17, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
58 59 60 3 61 3 62 28 63 27 64 3 65 3 68 30 69 3 70 3 71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	1970 2217 2224 306103 789692 2376 2377 2379 33942 33943 2824 3182 520403 246905 289696 304434 327037 5308 345687 32220 519489 520379 570607 34436	SONNIA PRYSTUPA NORA ANN PIHRAG CINDY HUNTER BART HUNTER KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 18, 2012 December 20, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
60 61 62 62 63 64 65 66 67 30 68 30 69 70 71 26 72 32 73 32 74 29 75 29 76 3 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	2217 2224 306103 789692 2376 2377 2379 33942 33943 2824 3182 520403 246905 289696 004434 227037 5308 045687 32220 519489 520379 270607 34436	NORA ANN PIHRAG CINDY HUNTER BART HUNTER KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 20, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
61	2224 306103 789692 2376 2377 2379 233942 233943 2824 33182 320403 246905 289696 240434 227037 5308 245687 32220 319489 320379 270607 234436	BART HUNTER KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 20, 2012 December 20, 2012 December 20, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
62 28 63 27 64 3 65 66 3 66 67 30 68 30 69 70 3 71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	806103 889692 2376 2377 2379 333942 333943 2824 3182 520403 246905 289696 004434 027037 5308 045687 32220 519489 520379 670607 34436	BART HUNTER KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 20, 2012 December 20, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
63 27 64 65 65 66 67 30 68 30 69 70 71 71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	789692 2376 2377 2379 233942 233943 2824 3182 520403 246905 289696 204434 227037 5308 245687 32220 319489 320379 270607 34436	KENNETH J GIBSON & MIRSA C GIBSON MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 20, 2012 December 21, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
64 64 65 66 67 66 66 67 30 68 30 69 70 71 26 72 32 73 32 74 29 75 29 76 6 67 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	2376 2377 2379 333942 333943 2824 3182 520403 246905 289696 304434 227037 5308 32220 519489 520379 270607 34436	MATTHEW BATES FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 21, 2012 December 21, 2012 December 21, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
65 66 67 30 68 30 69 70 71 26 72 32 73 32 74 29 75 29 76 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	2377 2379 233942 233943 2824 3182 520403 246905 289696 004434 227037 5308 32220 519489 520379 270607 34436	FLORENCE ARLITT FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 21, 2012 December 27, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
66	2379 233942 233943 2824 3182 520403 246905 289696 204434 227037 5308 245687 32220 519489 520379 270607 34436	FLORENCE ARLITT & FRANCIS ARLITT VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 21, 2012 December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
67 30 68 30 69 2 70 3 71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	033942 033943 2824 3182 520403 646905 689696 004434 027037 5308 045687 032220 619489 620379 670607 034436	VERN TRIOL DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 27, 2012 December 27, 2012 December 26, 2012 January 7, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
68 30 69 3 70 3 71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	033943 2824 3182 520403 246905 289696 004434 027037 5308 045687 32220 519489 520379 070607 134436	DIKRAN KASHKASHIAN DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 27, 2012 December 26, 2012 January 7, 2013 January 17, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
69 70 70 71 26 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	2824 3182 520403 246905 289696 004434 227037 5308 045687 32220 519489 520379 270607	DIKRAN KASHKASHIAN REV TRUST LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	December 26, 2012 January 7, 2013 January 17, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
70	3182 520403 246905 289696 004434 027037 5308 045687 32220 519489 520379 070607 34436	LAURIE IGNATIUK & GERALD IGNATIUK PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 7, 2013 January 17, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	520403 246905 289696 004434 227037 5308 045687 32220 519489 520379 270607 34436	PAT CONNELLY FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 17, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
71 26 72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	246905 289696 204434 227037 5308 245687 32220 519489 520379 270607 34436	FU-YU TSAI LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 16, 2013 January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
72 32 73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	246905 289696 204434 227037 5308 245687 32220 519489 520379 270607 34436	LARRY COOPERSMITH BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
73 32 74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	004434 027037 5308 045687 .32220 519489 520379 270607 .34436	BARBARA A TENNYSON MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 16, 2013 January 16, 2013 January 16, 2013 January 14, 2013
74 29 75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	004434 027037 5308 045687 .32220 519489 520379 270607 .34436	MARIO TIRONI JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 16, 2013 January 14, 2013
75 29 76 3 77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	027037 5308 045687 32220 519489 520379 270607	JOSEPHINE TIRONI RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 16, 2013 January 14, 2013
76 29 30 30 30 30 30 30 30 30 30 30 30 30 30	5308 045687 32220 519489 520379 270607 34436	RON STRATULIAK JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013
77 30 78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	045687 .32220 519489 520379 270607	JULIA M RALEY SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013
78 31 79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	32220 519489 520379 270607 34436	SHELBA ADOMYETZ DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 14, 2013 January 14, 2013 January 14, 2013 January 14, 2013
79 25 80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	519489 520379 270607 134436	DAVID J AND C SUE WILLIAMS ROBERT E CRAIG	January 14, 2013 January 14, 2013 January 14, 2013
80 25 81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	520379 270607 34436	ROBERT E CRAIG	January 14, 2013 January 14, 2013
81 32 82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	270607 34436		January 14, 2013
82 31 83 31 84 29 85 32 86 29 87 29 88 32 89 33	34436	INGEBORG SCHOSTER	
83 31 84 29 85 32 86 29 87 29 88 32 89 33		RICHARD BABIARZ	January 17, 2013
84 29 85 32 86 29 87 29 88 32 89 33	30007	HSIN-NAN CHOU & JEAN C CHOU	January 17, 2013
85 32 86 29 87 29 88 32 89 33	16043	NANCY ANDERS	January 14, 2013
86 29 87 29 88 32 89 33	233374	GROVER L MILLER	January 17, 2013
87 29 88 32 89 33	94748	OTHY S ANDERSON, THE CARL & DOROTHY ANDERSO	January 17, 2013
88 32 89 33	989484	JOHN & DELIA MULVEY	January 22, 2013
89 33	221558	WANDA A LONG	January 22, 2013
	304014	FLORENCE B WOODS	January 14, 2013
70 25	529572	WILLIAM I BUTLER	January 17, 2013
	7173	ESTHER STEIN	January 28, 2013
	506176	MERLE BRUBAKER	January 29, 2013
	88391	RICHARD E GOSS	January 30, 2013
	286494	MOLLIE TAUSTEIN	January 30, 2013
	398305	JANET LEE SCOTT	February 4, 2013
	210514	RON J KOHMESCHER	February 5, 2013
	258308	GERALD RAINEY & LINDA RAINEY	February 6, 2013
	02922	GLENDA A. KNOX	February 7, 2013
	16427	RUTH CRUDEN	February 8, 2013
	209550	KATHLEEN KELLY	February 11, 2013
†	5681	DAINS C CAMELM	February 11, 2013
	5690	FRANCES M. WHYTE	February 11, 2013
1	18129	ANNEMARIE KARSTEN	February 12, 2013
	5727	JINET NG	February 12, 2013
	5735	THE ESTATE OF ALVA JEAN KWAS	February 12, 2013
	6296	HAROLD THOMPSON	February 15, 2013
	7660	JOSEPH HOEGGER AND EDITH HOEGGER	February 19, 2013
	7747	BRYN PERCIVAL	February 19, 2013
	15936		
		SHAWN CREWE	February 19, 2013 February 19, 2013
		CHZANNE CHDICTENCEN	i rediuary 19, 2015
111 1 112 31	7782 7783	SUZANNE CHRISTENSEN PAULINE LAPOINTE	February 19, 2013

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Exhibit F

Non-Conforming Exclusions

Count	Exclusion ID Number	Name(s)	Received Date
113	1987613	CLEMENT R MERCALDO TOD MARIA K MERCALDO	February 27, 2013
114	18805	SANDRA RAYSON POON	February 28, 2013
115	6862	DEBRA GALAMBOS	March 8, 2013

EXHIBIT 37



EXHIBIT 38

In re Citigroup Inc. Securities Litigation, No. 07 Civ. 9901 (SHS) (S.D.N.Y.)

Supplemental Declaration Exhibit 38

Cases Listed on Page 5 of Mr. Frank's March 15, 2013 Objection [Dkt. No. 222] Regarding His Proposed Survey of PSLRA Settlements of 80% to 120% of \$590 Million

	Case Name	Settlement Amount	Action Includes Non-Scienter Claims	Multiple Corporate Defendants	Restatement
1	In re Wachovia Preferred Sec. & Bond/Notes Litig., No. 09 Civ. 6351 (S.D.N.Y.)	\$627 million	✓	✓	
2	In re Countrywide Fin. Corp. Sec. Litig., No. 07 Civ. 5295 (C.D. Cal.)	\$601.5 million	✓	√	
3	In re Cardinal Health, Inc. Sec. Litig., No. 04-cv-00575 (S.D. Ohio)	\$600 million			√
4	In re IPO Sec. Litig., No. 21 MC 92 (S.D.N.Y.)	\$586 million	✓	√	
5	In re HealthSouth Corp. Stockholder Litig., No. 03 Civ. 1500 (N.D. Ala.)	\$804.5 million (combined)	√	√	√
6	In re Lucent Techs., Inc. Sec. Litig., No. 00 Civ. 00621 (D.N.J.)	\$608.35 million (combined)	√		✓
7	In re Lehman Bros. Sec. & ERISA Litig., No. 09 MD 2017 (S.D.N.Y.)	\$516.218 million	✓	√	
8	In re Merrill Lynch & Co., Inc. Sec., Deriv. & ERISA Litig., No. 07 Civ. 9633 (S.D.N.Y.)	\$475 million	✓	✓	
9	In re Dynegy, Inc. Sec. Litig., No. 02 Civ. 1571 (S.D. Tex.)	\$474.05 million	✓	√	✓

EXHIBIT 39

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Supplemental Declaration Exhibit 39

Survey of Average Associate/Contract Attorney Billed Rates in PSLRA Cases that Settled for \$400 Million or More*

No.	Order	Set	Total tlement Amount	Settlement Year	Associate Average Billed Rate	Contract Attorney Average Billed Rate	Contract Attorney Rate as % of Associate Rate	Case No. & Docket Entry for Associate and Contract Attorney Billed Rates
1	In re Enron Corp. Sec., Deriv. & ERISA Litig. ,	\$	7,227,390,000	2008	\$349.90	\$305.83	87.40%	No. 01-cv-03624 at Dkt. Nos. 5818, 5825-28, 5830-35
2	<i>In re WorldCom, Inc. Sec. Litig.</i> , 388 F. Supp. 2d 319 (S.D.N.Y. 2005)	\$	6,156,100,670	2004 and 2005	\$298.47	\$270.73	90.71%	No. 02-cv-3288 at Dkt. No. 2793
3	In re Tyco Int'l Ltd. Multidistrict Litig., 535 F. Supp. 2d 249 (D.N.H. 2007)	\$	3,200,000,000	2007	\$393.23	\$300.71	⁶ 76.47%	No. 02-md-01335 at Dkt. No. 1146
4	In re Nortel Networks Corp. Sec. Litig. ("Nortel II"), No. 04 Civ. 02115 (S.D.N.Y. Dec. 26, 2006) (Dkt. No. 177)	\$	1,074,265,298	2006	\$279.42	\$274.62	98.28%	No. 05-md-01659 at Dkt. No. 61
5	In re UnitedHealth Group Inc. PSLRA Litig., 643 F. Supp. 2d 1094 (D. Minn. 2009)	\$	925,500,000	2009	\$374.71	\$329.58	87.96%	No. 06-cv-01691 at Dkt. No. 822-23
6	In re HealthSouth Corp. Sec. Litig. , No. 03 Civ. 1500 (N.D. Ala.) (Dkt. Nos. 1112, 1617, 1721, 1722)	\$	804,500,000	2008, 2009, 2010	\$335.00	\$322.56	96.29%	No. 03-cv-1500 at Dkt. Nos. 1055, 1069, 1608, 1692
7	Carlson v. Xerox Corp., 596 F. Supp. 2d 400 (D. Conn. 2009)	\$	750,000,000	2009	\$337.84	\$300.38	88.91%	No. 00-cv-01621 at Dkt. No. 496
8	In re Wachovia Preferred Sec. & Bond/Notes Litig., No. 09 Civ. 06351 (S.D.N.Y. Jan 03, 2012) (Dkt. No. 161)	\$	627,000,000	2011	\$427.33	\$352.94	6 82.59%	No. 09-cv-06351 at Dkt. No. 148
9	In re Cardinal Health Inc. Sec. Litig., 528 F. Supp. 2d 752 (S.D. Ohio 2007)	\$	600,000,000	2007	\$374.80	\$289.04	77.12%	No. 09-cv-06351 at Dkt. No. 319
10	In re Lehman Bros. Sec. & ERISA Litig., No. 09 MD 2017 (S.D.N.Y. June 29, 2012) (Dkt. No. 970)	\$	516,218,000	2012	\$425.27	\$300.00	70.54%	No. 09-md-2017 at Dkt. No. 807
11	In re Merrill Lynch & Co., Inc., Sec., Deriv. & ERISA Litig , No. 07 Civ. 9633, 2009 WL 2407551 (S.D.N.Y. Aug. 04, 2009)	\$	475,000,000	2009	\$390.00	\$400.00	102.56%	No. 07-cv-9633 at Dkt. No. 246
12	<i>In re Dynegy, Inc. Sec. Litig.</i> , No. H-02-1571 (S.D. Tex. July 7, 2005) (Dkt. No. 686)	\$	474,050,000	2005	\$305.29	\$340.00	111.37%	No. 02-cv-01571 at Dkt. No. 678
13	In re Raytheon Co. Sec. Litig., No. 99 Civ. 12142 (D. Mass. Dec. 06, 2004) (Dkt. No. 645)	\$	460,000,000	2004	\$306.79	\$295.00	96.16%	No. 99-cv-12142 at Dkt. No. 633-38
14	In re Qwest Commc'ns Int'l Inc. Sec. Litig., No. 01 Civ. 01451 (D. Colo. Sept. 29, 2006) (Dkt. No. 1051); 625 F. Supp. 2d 1143 (D. Colo. 2009)	\$	445,000,000	2006	\$308.41	\$306.00	99.22%	No. 01-cv-01451 at Dkt. Nos. 933-34, 939, 1162
				AVERAGE:	\$350.46	\$313.39	89.42%	

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Supplemental Declaration Exhibit 39

Survey of Average Associate/Contract Attorney Billed Rates in PSLRA Cases that Settled for \$400 Million or More*

							Case No. & Docket Entry for
		Total	Settlement	Associate Average	Contract Attorney	Contract Attorney Rate	Associate and Contract
No.	Order	Settlement Amount	Year	Billed Rate	Average Billed Rate	as % of Associate Rate	Attorney Billed Rates

^{*} For our empirical study of project attorney/contract attorney and associate rates, only attorneys listed as "contract attorneys," "contract associates," "temporary attorneys," "project associates," or "project attorneys" in their respective lodestar summary declarations have been included in the average calculations. "Staff attorneys" and "staff associates" have been excluded, as it is not possible to determine their actual employment status from their respective firms' declarations. We also only included firms that affirmatively labeled their associates as "associates" in their fee declarations. Firms that designated associates as "attorneys" or simply "A" have not been included.

Likewise, firms that listed only partners or did not categorize their attorneys as either partners or associates have been excluded from our analysis. Furthermore, firms that list categories such as "associates/staff attorneys", "project associates/staff attorneys," "associates/of counsel", or "other attorneys" without any distinction between the different attorney types have been excluded.

Footnotes:

¹ Nortel II provided for \$370,157,428 and 314,333,875 shares of Nortel common stock valued at \$2.24/share. 8% of each was awarded to counsel, resulting in \$29,612,594.24 in cash and 25,146,710 shares of common stock.

² There were several different settlements in *HealthSouth* against various defendants over the course of 3 years: \$445 million in 2008, \$109 million in 2009, \$117 million in 2010, and \$133.5 million in 2010 for the Bonds case. Attorneys' fees of \$77,875,000 of \$445 million, or 17.5%, was approved in 2008, \$20,154,100 of \$109 million, or 18.49%, was approved in 2009, \$22,815,000 of \$117 million, or 19.5%, was approved in 2010 for the Bonds case. The fee percentage and lodestar information here represent the aggregate of all the settlements.

³ In *Dynegy*, the settlement was \$406.05 million in cash and 17,578,781 shares worth \$68 million of Dynegy stock. The court awarded 8.7257% of both, which amounts to \$35,151,482 in cash and 1,533,872 shares of Dynegy common stock worth \$5.93 million, for a total fee of \$41.08 million.

⁴ There were two settlements in *Raytheon* against multiple defendants: \$210 million in cash and \$200 million worth of settlement warrants with certain defendants, and \$50 million with PricewaterhouseCoopers. The fee percentage and lodestar information here represent the aggregate of both of the settlements.

⁵ There were two settlements in *Qwest*: \$400 million with certain defendants in 2006 and \$45 million with other defendants in 2009. Attorneys' fees were \$60 million (or 15%) and \$6.75 million (or 15%) respectively. The fee percentage and lodestar information here represent the aggregate of both of the settlements.

⁶ In this case, there were also entries for "staff attorneys". Because we cannot tell if they were hourly or full-time, they are not included in either category.

⁷Only one "project associate" billed at \$400.

EXHIBIT 40

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Supplemental Declaration Exhibit 40

Hours Billed By Attorneys and Other Class Counsel Personnel in Comparable PSLRA Cases Cited in the Miller Declaration ¶ 58 (\$550 - \$800 million) and in the Coffee Declaration ¶ 17 (\$490 - \$690 million) that were at the Discovery Stage Prior to Settlement

Order	Set	Total tlement Amount	Fee Awarded	Fee Percentage Awarded (as percentage of the Gross Settlement Amount)	Total Lodestar	Lodestar Multiplier	Passed motion to dismiss stage and commenced discovery prior to settlement?	Total Hours
Carlson v. Xerox Corp. , 596 F. Supp. 2d 400 (D. Conn. 2009)	\$	750,000,000	\$ 120,000,000	16.00%	\$ 95,942,272.25	1.25	√	290,760
<i>In re Lucent Techs., Inc. Sec. Litig.</i> , 327 F. Supp. 2d 426 (D.N.J. 2004)	\$	608,350,000	\$ 102,477,500	16.85%	\$ 47,863,379.25	2.14	~	79,764
<i>In re Countrywide Fin. Corp. Sec. Litig.</i> , No. 07 Civ. 05295 (C.D. Cal. Mar. 04, 2011) (Dkt. No. 1062)	\$	601,500,000	\$ 46,472,000	7.73%	\$ 69,190,643.25	0.67	✓	182,711
<i>In re Cardinal Health Inc. Sec. Litig.</i> , 528 F. Supp. 2d 752 (S.D. Ohio 2007)	\$	600,000,000	\$ 107,580,000	17.93%	\$ 18,378,122.75	5.85	√	51,970
In re Initial Public Offering Sec. Litig., 671 F. Supp. 2d 467 (S.D.N.Y. 2009)	\$	586,000,000	\$ 170,084,950	29.02%	\$ 378,000,000.00	0.45	✓	677,082
In re Lehman Bros. Sec. and ERISA Litig., No. 09 MD 2017 (S.D.N.Y. June 29, 2012) (Dkt. No. 970)	\$	516,218,000	\$ 56,729,265	10.99%	\$ 37,819,510.00	1.50	√	91,876
<i>In re BankAmerica Corp. Sec. Litig.</i> , 228 F. Supp. 2d 1061 (E.D. Mo. 2002)	\$	490,000,000	\$ 86,416,085	17.64%	\$ 28,805,990.75	3.00	✓	81,443
					Co	offee Range - Average	Number of Hours \$490-690 million 1	194,141
					٨	1iller Range - Average	Number of Hours \$550-800 million	256,457

¹ In this case, counsel included 115,342.33 hours in their application for reimbursement. See Joint Decl. Ex. D.

² As this chart just addresses cases that passed the motion to dismiss prior to settlement, it does not include one of the cases cited in the Coffee and Miller declarations because discovery did not commence in that action prior to settlement. *In re Wachovia Preferred Sec. and Bond/Notes Litig.*, No. 09 Civ. 06351 (S.D.N.Y. 2012).

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Supplemental Declaration Exhibit 40

Hours Billed By Attorneys And Other Class Counsel Personnel In Cases Cited in Frank March 15, 2013 Br. at 5 that were at the Discovery Stage Prior to Settlement

The highlighted cases are discussed in the Miller declaration ¶ 58 (\$550 - \$800 million) and in the Coffee declaration ¶ 17 (\$490 - \$690 million)

Order	Set	Total tlement Amount	F	ee Awarded	Fee Percentage Awarded (as percentage of the Gross Settlement Amount)	Total Lodestar	Lodestar Multiplier	Passed motion to dismiss stage and commenced discovery prior to settlement?	Total Hours
In re HealthSouth Corp. Sec. Litig., No. 03 Civ. 1500 (N.D. Ala.) (Dkt. Nos. 1112, 1617, 1721, 1722)	\$	804,500,000 1	\$	138,199,100	17.18%	\$ 115,167,204.35	1.20	√	221,499.63
<i>In re Lucent Techs., Inc. Sec. Litig.</i> , 327 F. Supp. 2d 426 (D.N.J. 2004)	\$	608,350,000 ²	\$	102,477,500	16.85%	\$ 47,863,379.25	2.14	√	79,764
In re Countrywide Fin. Corp. Sec. Litig., No. 07 Civ. 05295 (C.D. Cal. Mar. 04, 2011) (Dkt. No. 1062)	\$	601,500,000	\$	46,472,000	7.73%	\$ 69,190,643.25	0.67	1	182,711
<i>In re Cardinal Health Inc. Sec. Litig.</i> , 528 F. Supp. 2d 752 (S.D. Ohio 2007)	\$	600,000,000	\$	107,580,000	17.93%	\$ 18,378,122.75	5.85	√	51,970
<i>In re Initial Public Offering Sec. Litig.</i> , 671 F. Supp. 2d 467 (S.D.N.Y. 2009)	\$	586,000,000	\$	170,084,950	29.02%	\$ 378,000,000.00	0.45	✓	677,082
In re Lehman Bros. Sec. and ERISA Litig. , No. 09 MD 2017 (S.D.N.Y. June 29, 2012) (Dkt. No. 970)	\$	516,218,000	\$	56,729,265	10.99%	\$ 37,819,510.00	1.50	√	91,876
In re Dynegy, Inc. Sec. Litig., No. H-02-1571 (S.D. Tex. July 7, 2005) (Dkt. No. 686)	\$	474,050,000		\$35,151,482 and ,933,476 in stock		\$ 10,162,041.75	4.04	√	26,607
								Average Number of Total Hours ³	190,216 ³

Footnotes:

Frank represented this figure as \$537.5 million. Frank March 15, 2013 Br. at 5. There were several different settlements in HealthSouth against various defendants over the course of 3 years: \$445 million in 2008, \$109 million in 2009, \$117 million in 2010, and \$133.5 million in 2010 for the Bonds case. Attorneys' fees of \$77,875,000 of \$445 million, or 17.5%, was approved in 2008, \$20,154,100 of \$109 million, or 18.49%, was approved in 2009, \$22,815,000 of \$117 million, or 19.5%, was approved in 2010 and \$17,355,000 of \$133.5 million, or 13%, was approved in 2010 for the Bonds case. The fee percentage and lodestar information here represent the aggregate of all the settlements.

² Frank represented this figure as \$517 million. Frank March 15, 2013 Br. at 5. There were several different settlements in *Lucent Techs*. for various classes: \$517 million (made up of cash, stock and warrants) for the common shareholders class, \$69 million for the ERISA class, \$4.6 million for an individual plaintiff, \$3.75 million for debt security holders, and \$14 million for the derivative plaintiffs. Attorneys' fees were \$87.89 million (or 17%), \$10.35 million (or 15%), \$920,000 (or 20%), \$937,500 (or 25%) and \$2.38 million (or 17%) for each respective class. The fee percentage and lodestar information here represent the aggregate of all the settlements.

³ In this case, counsel included 115,342.33 hours in their application for reimbursement. See Joint Decl. Ex. D.

⁴ As this chart just addresses cases that passed the motion to dismiss prior to settlement, it does not include two of the cases cited on page 5 of Frank's March 15, 2013 Brief, because discovery did not commence in those actions prior to settlement. *In re Wachovia Preferred Sec. and Bond/Notes Litig.*, No. 09 Civ. 06351 (S.D.N.Y. 2012); *In re Merrill Lynch & Co., Inc., Sec., Deriv. and ERISA Litig.*, No. 07 Civ. 9633 (S.D.N.Y. 2009).

EXHIBIT 41

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

the state of the s	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
1	Michael B	Kirby McInerney	2000	- Substantive document review and deposition preparation for Citigroup CEO (resigned 11/4/2007) (Charles Prince); Head of European Credit Products, Citigroup Markets & Banking ("CMB") Risk Management (Dominic Wallace); CMB Controller (Frank Marsigliano); Head of Financial Reporting, Citigroup Inc. (William Gonska); Fixed Income Currencies & Commodities ("FICC") economist (Lakhbir Hayre)		New York University School of Law, LLM (Taxation)	\$550	Oklahoma City University School of Law
2	Peter B	Kirby McInemey	1997	Global Structured Credit Products	- Many large document reviews - Labaton Sucharow (Associate for 11 years in securities class action practice). Project Attorney for Davis Polk & Wardwell LLP; Hughes Hubbard & Reed LLP.		\$550	Albany Law School
3	Kristine C	Kirby McInerney	2008		- Large document reviews - Project Attorney at Sullivan & Cromwell		S450	Boston College Law School

In re Citigroup Inc. Sec. Litig, No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities Bitgation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
4	Nelson De La C	Kirby McInemey	2009	CMB Risk Management (credit focus) (Patrick Ryan)	- Many large document reviews - Securities litigation experience from doc review responding to SEC investigations of potential securities fraud - Securities industry experience - Project Attorney work for Cravath, Swaine & Moore; Covington & Burling; Debevoise & Plimpton; Gibson Dunn & Crutcher; Wachtell, Lipton, Rosen & Katz; Patterson Belknap Webb & Tyler; Paul, Weiss, Rifkind, Wharton & Garrison; Sidley Austin, Brown & Wood Previoulsy employed by UBS AG; Bear Steams; Deutsche Bank		\$450	Pace University School of Law
5	Steven D	Kirby Melnerney	2008	- Substantive document review and deposition preparation of CDO Trading, GSCP, Super Senior Book (Brian Yarrington); Head of Accounting Policy at CMB (William Bozarth); Co-Head of CMB (banking) (Michael Klein); Head of Subprime Products group (November 2007 onwards) (Richard Stuckey); CMB Controller (Frank Marsigliano); Head of Financial Reporting, Citigroup Inc. (William Gonska), Citigroup CFO (beginning March 12, 2007) (Gary Crittenden)	- Project Attorney work for	Master of Science, Pinance, Sawyer Busincss School	\$400	Suffolk University Law School

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

the same	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
6	Eileen D	Kirby McInerney	2002	- Substantive document review and deposition preparation of Head of GSCP Credit Correlation (since October 2006) and Head of GSCP SCP ABS Correlation (starting June 2007) (Mickey Bhatia); Co-head of GSCP ABS CDOs (structuring) (Darius Grant); Co-Head of Giobal CDOs (Nestor Dominguez)	-Large document reviews - Securities litigation experience from doc review responding to SEC investigations of potential securities fraud - Subprime/CDO experience - Project Attorney: Paul, Hastings, Janofsky & Walker LLP; Curtis, Mallet-Prevost, Colt & Mosle, LLP; Latharn & Watkins, P.C.; Shearman & Sterling, P.C.		\$550	SUNY - University at Buffalo Law School
7	Thomas E	Kirby McInerney	2009	- Substantive document review and deposition preparation of Co-Head, Global CDOs (Janice Warne); Head of GSCP from 8/2006 - 10/2007 (Michael Raynes); Co-Head of CMB (Risk Management (market risk focus) (Ellen Duke); CDO Trading, GSCP, Super Senior Book (Brian Yarrington); GSCP CDO syndicate (Mihail Nikolov); Co-Head of CMB (Risk Management (credit focus) (Patrick Ryan); Head of Europe, Middle East and Africa Risk Management (Colin Church); Co-Head, FICC (Geoffrey Coley); CMB Controller (until June 2007) and CMB (Chief Financial Officer (from June 2007 onwards) (Cliff Verton)	- Securities industry experience			Boston University School of Law

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
	Thomas E (continued)	Kirby McInerney	2009	- Substantive document review and deposition preparation of FICC Chief Mortgage/RMBS analyst (Rahul Parulekar); Co-Head, CBM (resigned October 15, 2007) (Thomas Maheras); assisted with preparation for, and at, deposition of Head of GSCP from 8/2006 - 10/2007 (Michael Raynes)	- Securities industry experience		\$375	Boston University School of Law
8	Riley F	Kirby McInemey	2005	(Robert Druskin); Citigroup Chief Administrator Officer (Lewis Kaden); Head of FICC, Quantitative Condit	- Staff Attorney: United States Courts of Appeals for the Second, Fourth and Eleventh Circuits - Securities Itigitation experience through working as a staff attorney for the Second Circuit		\$475	Tulage University Law School
9	Damien P	Kirby McInerney	2002	Church); CMB Controller (until June	- Many large document reviews - Securities litigation experience - Subprime/CDO experience - Project attorney work for Latham & Watkins LLP as well as for prior large subprime-crisis securities class action		\$550	University of Oregon Law School

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
10	Joshua G	Kirby McInerney	2062	Head of Financial Reporting, Citigroup Inc. (William Gopska)	- Many large document reviews - Securities litigation experience - Subprime/CDO experience - Project Attorney: Davis, Polk & Wardwell, Proskauer Rose, Sullivan & Cromwell; Cravath Swaine & Moore; Wilmer Hale; Hughes, Hubbard & Reed. Royal Bank of Canada (project attorney – reviewed subscription agreements and liquidity terms for private placements)		\$550	Cardozo School of Law
11	Brian H	Kirby McInerney	1992	CEO (resigned 11/4/2007) (Charles Prinxe); Head, CMB Markets (through September 2007) and co-CEO of	- Many large document reviews - Project Attorney: Sullivan & Cromwell, LLP; Cravath Swaine & Moore LLP; Quinn Emanuel; Skadden, Arps, Slate, Meagher & Flom, LLP; Cadwalader, Wickersham & Taft LLP; Patterson Belknap Webb & Tyler LLP; Gibson Duon & Crutcher.		\$550	Dedman School of Law (Southern Methodist University)
12	Nader K	Kirby McInerney	2010	- Substantive document review and deposition preparation of Chief Risk Officer of Citigroup, Inc. (until November 2007) (David Bushnell); GSCP sales (Sohail Khan); Head of Europe, Middle East and Africa Risk Management (Colin Church); CMB Controller (until June 2007) and CMB Chief Financial Officer (from June 2007 onwards) (Cliff Verron)	N/A	Judicial clerk for Hon. Thomas A. Adams (NY State Supreme Court, appellate division, 2nd dept.) Boston University School of Law, LLM (Taxation)	\$350	Cardozo School of Law

In re Citigroup Inc. Sec. Litig, No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	§	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securitic litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
13	Michael M	Kirby McInemey	2098	Head of FICC (Randy Barker); Head	- Many large document reviews - Securities litigation experience - Subprime/CDO experience - Securities industry experience - Project attorney at Pillsbury Winthrop, Debevoise & Plimpton - Financial experience at A.G. Edwards, Prudential Securities, UBS/PaineWebber, Smith Barney	Loyola Marymount University, MBA with concentration in finance and international economics	\$425	University of San Diego School of Law
14	Belden N	Kirby McInerney	2004	CDO Trading, GSCP, Super Senior 300k (Brian Yarrington); GSCP Structuring (Robert Pinniger); Co- dead, Credit Markets (Chad Leat)	- Subprime/CDO experience - Orrick, Herrington & Sutcliffe (associate in the structured finance (expartment; served as deal leader in multiple CDO, CLO and RMBS ransactions and securitizations)	M.I.T., Master of Engineering	\$525	Columbia Law School

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	,	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
15	Laurie F	Kirby McInemey	2001	- Substantive document review and prepared for and conducted depositions of: CMB business unit manager (Shawn Feeney); Head of Credit Products at CMB Risk Management (Murray Barnes); Co-Head of FICC (Randy Barker); Head of GSCP from 8/2006 - 10/2007 (Michael Raynes); CDO Trading, GSCP, Super Senior Book (Brian Yarrington); Chief Risk Officer of Citigroup, Inc. (until November 2007) (David Businell); Head, Investor Relations (2007) (Arthur Tildesley) - Assisted with preparation for, and at, deposition of Co-Head of CMB Risk Management (credit focus) (Patrick Ryan)	- Large document reviews - Securities litigation experience	Law Clerk to the Honorable Joel F. Dubina for the United States Court of Appeals for the Eleventh Circuit Georgetown University Law Center, LLM Candidate (May 2013) in Securities and Financial Regulation	\$559	The Cumberland School of Law
16	Kellen S	Kirby Molnerney	2010	- Substantive document review and deposition preparation of Head of Credit Products at CMB Risk Management (Murray Barnes); Head of GSCP from 8/2006 - 10/2007 (Michael Raynes); Head of GSCP Credit Correlation (since October 2006) and Head of GSCP ABS Correlation (starting June 2007) (Mickey Bhatia); Co-head of GSCP ABS CDOs (structuring) (Darius Grant); Head of FICC, Fixed Income Strategy and Analysis (Suni Harford); Co-Head, Credit Markets (Chad Leat)	- Securities industry experience - Morgan Stanley, Institutional Equities Division Consultant			Fordham University School of Law

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice		Relevant Experience to Current Litigation (e.g. lurge document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed	
17	Gail T	Kirby McInerney		- Substantive document review and deposition preparation of CMB Business Unit Manager (Shawn Feeney); Co-Head of FICC (Randy Barker); Chief Operating Officer of Citigroup, Inc. (Robert Druskin); GSCP sales (Sohail Khan); Chief Financial Officer, FICC (Jo Anne Williams); CMB Controller (Frank Marsigliano); Head, Investor Relations (2007) (Arthur Tildesley); Co-head of CMB Risk Management (market risk focus) (Ellen Duke)	- Project Attorney work for a "Vault 100 law firm"	of Advance Degrees	\$375	Law School Dickinson School of Law (Penn State University)
SO PROPERTY OF THE PROPERTY OF	Kumudinj U	Kirby Molnemey	1999	- Substantive document review	- Large document reviews - Securities litgiation experience - Subprime/CDO experience - Securities industry experience - Securities represent the securities class action staff attorney); Sullivan & Cronwell (project attorney in CDO case); United States Securities and - Exchange Commission (Staff Attorney, Enforcement Division). More Project Attorney work for Wilkie Part & Gallagher; Simpson Thacher & Bartlett; Cleary Gottlieb Steen & Hamilton LLP		\$550	Brooklyn Law School

In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

19	Name levgeniia V	Firm Kirby McInemcy	Year of Admission to Practice 2011	Sample of Tasks Performed - Substantive document review and deposition preparation of Head of Credit Products at CMB Risk Management (Murray Barnes)	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience) N/A	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School Georgetown University Law Center
20	Andrew W	Kirby McInemey	2003	- Substantive document review and deposition preparation of Head of Credit Products at CMB Risk Management (Murray Barnes); Citigroup Chief Administrator Officer (Lewis Kaden); GSCP Sales (covered monolines MBIA, ACA, XL, maybe others; also BSAM, BNP Paribas) (Carol Brand); Chief Operating Officer of CMB Risk Management (Steven Ekert); Pricing Group Head, CMB (Tobias Brushammar)	- Large document reviews - Securities litigation experience - Former Kirby McInerney associate		\$550	Columbia Law School
21	Soo W	Kirby McInemey	2003	- Substantive document review and deposition preparation of Head of Accounting Policy at CMB (William Bozarth); Citigroup CFO (beginning March 12, 2007) (Gary Crittenden); Citigroup Chief Administrator Officer (Lewis Kaden)	- Large document reviews - Securities litgiation experience - Project Attorney work for Cleary, Gottlicb, Steen & Hamilton LLP; Willkie Farr & Gallagher LLP	Certified Public Accountant	\$525	Brocklyn Law School
22	Kathryn C	Glancy Binkow & Goldberg	2001	- Substantive document review	- Project Attorney work for Wachtell, Lipton, Rosen and Katz; Wilmer	Boston University School of Law - Master's of Law in Banking and Finance Law	\$475	New England School of Law
23	Dustin J	Glancy Binkow & Goldberg	2010	- Substantive document review	- Large document review - Securities litigation experience - Subprime/CDO experience - Project Attorney work for Kirkland & Ellis; Kaye Scholer; Simpson, Thacher & Bartlett		3513 1	Thomas M. Cooley Law School

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In re Citigroup Inc. Sec. Litig. No. 07 Civ. 9901 (SHS)

	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Credentials	2012 Claimed	
24	Anne L	Glancy Binkow & Goldberg	2007	- Substantive document review and deposition preparation of CMB Controller (until June 2007) and CMB Chief Financial Officer (from June 2007 onwards) (Cliff Verron)	- Large document review - Subprime/CDO experience - Project Attorney work for Weil, Gotshał &Manges LLP; Wilmer Cutler Pickering Hale and Dorr; Wachtell, Lipton, Rosen & Katz	Baruch College - Masters in Business Administration in International Business	\$400	Law School Brooklyn Law School
25	Kymberly R	Glancy Binkow & Goldberg	2608	- Substantive document review and deposition preparation of CMB Controller (Frank Marsigliano)	- Large document review - Securities litigation experience - Project Attorney work for Quinn, Emanuel, Urquhart & Sullivan, LLP; Wilkie Farr & Gallagher, LLP; Wachteil Lipton Rosen & Katz, LLP	Benjamin N. Cardozo School of Law, L.L.M.	\$400	Pace University School of Law
26	Richard W	Glancy Binkow & Goldberg	1985	- Substantive document review and deposition preparation of CDO Trading, GSCP, Super Senior Book (Brian Yarrington); Head of Accounting Policy at CMB (William Bozarth); Co-Head of CMB (banking) (Michael Klein); Head of Financial Reporting, Citigroup Inc. (William Gonska); Citigroup CFO (beginning March 12, 2007) (Gary Crittenden); Head of CDO Secondary Trading (Donald Quintin)	- Large document review			University of Minnesota Law School
27	Gilyana B	Motley Rice	2003	Substantive document review	- Large document review	Russian People's University School of Law (Moscow, Russia) - Master degree of law	\$400	Russian People's University School of Law (Moscow, Russia)

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	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial/securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
28	Kimberly M	Motley Rice	2008	- Substantive document review and deposition preparation of CMB business unit manager (Shawn Feeney); Co-Head of FICC (Randy Barker); Director and Chair of Audit & Risk Committee of Citigroup's Board of Directors (Michael Armstroug); Co-Head of Global CDOs (Nestor Dominguez); Citigroup CFO (beginning March 12, 2007) (Gary Crittenden); Director and Chair of Corporate Governance Committee, member of Executive Executive and Personnel and Compensation Committees of Citigroup's Board of Directors (Alain Belda)	- Large document review - Securities litigation experience - Subprime/CDO experience - Project Attorney work for Quinn Emanuel Ungulant & Sulling ALP		\$350	Seton Hall University School of Law
29	Lissa B	Law Office of Alan L. Kovacs	2006	- Substantive document review	- Jones Day (associate); Fulbright & Jaworski, LLP (associate)	4	\$375	Georgetown University Law Center
30	Kaleen F	Law Office of Alan L. Kovacs	2008	- Substantive document review	- Large document review - Subprime/CDO experience - Securities industry experience - Troutman Sanders LLP (associate)		\$325	Cornell Law School
31	Laura C	Law Office of Kenneth A. Elan	1995	- Substantive document review	N/A		\$500	New York Law School
32	Rachel G	Law Office of Kenneth A. Elan	2011	- Substantive document review	N/A		\$275	New York Law School

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T-Walker and one can ass	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. large document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials or Advance Degrees	2012 Claimed Rate	Law School
ļ.	India A	Kirby McInemey	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Securities litigation experience (a little as she mentioned one securities case on her resume)		\$375	New York University School of Law
2	Soth A	Kirby McInemey	2011	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Securities litigation experience - Subprime/CDO experience - MSCI, Institutional Shareholder Services (advised on legal environment for securities)		\$375	Georgetown University Law Center
3	Ryan B	Kirby McInemey	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews		\$375	Georgetown University Law Center
4	Anne B	Kirby McInemey	2000	review of Citigroup and third party productions	- Subprime/CDO experience - Securities industry experience - Clifford Chance (Associate); Allen & Overy (Associate); Mayer, Brown & Platt (Associate - structured finance experience); HSBC Bank USA, N.A./ItSBC Securities (USA), Inc.; U.N. International Criminal Tribunal for Rwanda and Former Yugoslavia		\$550	New York University School of Law
5	Gayle B	Kirby McInemey	1974	stages of the litigation; document review of Citigroup and third party productions	- Many large document reviews - Securities litigation experience - Project Attorney work for Covington & Burling; Cadwalader, Wickersham & Taft; Skadden, Arps, Slate, Meagher & Flom; Wilmer Cutler Pickering Hale and Dorr; Wilkie Farr & Gallagher; Nixon, Peabody.		\$550	Wayne State University

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	Name	Firm	Year of Admission to Practice	Sample of Tasks Performed	Relevant Experience to Current Litigation (e.g. targe document reviews, subprime/CDO, securities litigation, financial / securities industry experience)	Judicial Clerkships and Other Special Credentials of Advance Degrees	2012 Claimed Rate	Law School
6	Mashariki D	Kirby McInerney	2009	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Securities litigation experience - Subprime/CDO experience - Securities industry experience - Gerstein Savage LLP (associate - experience in corporate and securities law) - Mutual fund accountant at Solomon Smith Barney and Neuberger & Berman		\$425	Thomas M. Cooley Law School
7	Joanna D	Kirby McInemey	2010		Large document reviews Project attorney work for "large law firms on three separate anti-trust matters"	- Law clerk to Hon. Marilyn Shafer (Suptome Court of New York) - Law clerk and research associate for Justices Ray Finkelstein and Shane Marshall (Federal Court of Australia, Victoria Registry)	\$400	City University of New Yor School of Law
8	Tilewa F	Kirby McInerney	2008	review of Citigroup and third party productions	Attorney work for Mayer Brown LLP; Ropes & Gray LLP; Skadden, Arps, Slate, Meagher & Flom, LLP; Wilmer Cutler Pickering Hale and Dorr LLP		\$425	Seattle University School of Law
9	Paul K	Kirby McInerncy	1998	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document eview of Citigroup and third party productions	- Large document reviews - Securities htigation experience - City of Fort Wayne, Indiana (Associate City Attorney, 2004-2009)		\$550	State University of New Yor at Buffalo, School of Law

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10	Kevin K	Kirby McInemey	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	From document review responding to SEC investigations of potential securities fraud - Project Attorney: Pillsbury Winthrop; Cravath, Swaine & Moore; Kirkland & Ellis		\$375	Tulane University Law School
11	Teresa L	Kirby McInemey	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Project Attorney: Ropes & Gray; Deloitte & Touche; Quinn Emanuel		\$350	St. John's University School of Law
12	Kristic O	Kirby McInerney	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	Large document reviews Securities litigation experience Subprime/CDO experience Temporary legal consultant for Simpson Thacher in MBS litigation	Law clerk to Hon. Bruce Allen (New York Supreme Court, Criminal Division)	\$350	New York Law School
13	Nina Petraro _s	Kirby McInemey	2007	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions			\$450	St. John's University School of Law
14	Janet P	Kirby McInemey	1997	Suntmarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	N/A	Administrative Law Judge at the Office of Administrative Trials & Hearings, Environmental Control Board Legal certificate from Norman Manley Law School (Jamaica)		Hofstra University School of Law

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15	Michael 8	Kirby McInemey	2010	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Securities industry experience - Associate in Corporate Securities and Asian Practice Groups (boutique securities law firms – JSBarkats PLLC and Sichenzia Ross Friedman Ference LLP)	Georgetown University Law Center, LLM (Taxation)	\$375	Georgetown University Law Center
16	Stephanie S	Kirby McInemey	2007	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Project Attorney work for "various large corporate law firms"		\$450	Cardozo School of Law
17	Julian S	Kirby McInerney	2011	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Project Attorney at: Goodwin	FINRA (intern)	\$350	Brooklyn Law School
18	Colin S	Kirby McInemcy	2002	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	(Associate); seems to have done "electronic discovery" work at Cravath, Swaine & Moore LLP, at	Law Clerk to Hon. Joseph A. Greenaway, Jr. (U.S. District Court, District of New Jersey)	\$550	New York Úniversity School of Law
19	Jason S	Kirby McInerney	2005	review of Citigroup and third party	- Large document reviews - Securities litigation experience Kramer, Levin, Naftalis & Frankel, LLP (Associate); Project Attorney work (firms not specified)		\$500	Emory University School of Law
20	Steven W	Kirby McInemey	2000	Summarizing deposition testimony and evaluating it for potential use in later stages of the litigation; document review of Citigroup and third party productions	- Large document reviews - Foley & Lardner LLP (associate); Hogan & Hartson (associate); Fish & Richardson P.C. (associate)	Ph.D candidate, Rutgers Business School; Master of Science in Finance, The Johns Hopkins Univ.; MBA, College of William and Mary	\$550	College of William and Mary